





# Course: Advanced Legal and Compliance Risk Management

Code	City	Hotel	Start	End	Price	Language - Hours
860	Madrid (Spain)	Hotel Meeting Room	2025-05-05	2025-05-09	5450 €	En - 25

## Course Introduction

In today's complex regulatory environment, compliance officers and managers face a dynamic landscape filled with evolving regulations, legal frameworks, and business risks. This training course provides participants with the essential knowledge and best practices to navigate compliance challenges, ensuring that organizations adhere to statutory obligations and regulatory expectations.

This course offers a comprehensive overview of legal and compliance principles, risk management strategies, and the role of compliance professionals in maintaining corporate integrity. Participants will gain insights into financial crime prevention, regulatory compliance, corporate governance, and risk mitigation techniques while learning to develop and implement effective compliance policies within their organizations.

## Course Objectives

**By the end of this training course, participants will be able to:**

- Understand the role and responsibilities of compliance professionals, including Compliance Officers.
- Develop and implement effective compliance policies and procedures.
- Interpret key statutory, legal, and compliance requirements relevant to their industry.
- Identify major risk areas, including money laundering, cybercrime, bribery, and



corruption.

- Implement best practice risk management techniques for compliance oversight.
- Ensure alignment with corporate governance principles and regulatory expectations.

## Who Should Attend?

- Compliance Officers and Compliance Employees
- Risk Managers and Analysts
- Compliance Managers, Associates, and Auditors
- Contract Executives and Legal Professionals
- Senior Managers responsible for regulatory compliance

## Course Outline

### Day 1: Understanding Risk, Statutory, Legal, and Regulatory Compliance

- Defining legal and regulatory compliance frameworks
- Objectives and principles of compliance management
- Managing regulatory compliance in a dynamic business environment
- The role of regulatory bodies and enforcement mechanisms
- Regulatory models and compliance governance structures
- Understanding regulatory obligations and potential liabilities

### Day 2: Legal and Regulatory Environment & Financial Crime Prevention

- Importance of legal frameworks in corporate compliance
- Sources and objectives of statutory regulations
- Preventing financial crime and money laundering
- Understanding key financial crime legislation
- Designing effective anti-money laundering (AML) reporting systems
- Compliance with the USA PATRIOT Act and international financial regulations



- Addressing fraud, corruption, and market manipulation risks

### **Day 3: Corporate Governance & Compliance Structure**

- Principles of corporate governance and regulatory compliance
- Codes of conduct and ethics in compliance
- Role of regulatory bodies and industry governance standards
- Risk-based compliance monitoring and assessment techniques
- Responsibilities of compliance officers in regulatory environments
- Investigating compliance breaches and reporting mechanisms
- Training and awareness programs for compliance professionals

### **Day 4: Compliance in Practice and Risk Management**

- Establishing an effective compliance governance framework
- Internal and external compliance relationships
- Integration of compliance, corporate culture, and ethics
- Identifying key compliance risks and control mechanisms
- Risk management strategies for regulatory compliance
- Implementing internal compliance oversight and monitoring controls

### **Day 5: Legislative Risk Management & Enforcement**

- Understanding regulatory risk and legal implications
- Designing an effective risk management system for compliance
- Managing compliance breaches and enforcement actions
- Addressing threats and opportunities in compliance risk management
- Compliance challenges in financial crime, cybercrime, and data protection
- Best practices in regulatory compliance and risk mitigation



The Scandinavian Academy for Training and Development adopts the latest scientific and professional methodologies in training and human resource development, aiming to enhance the efficiency of individuals and organizations. Training programs are delivered through a comprehensive approach that includes:

- Theoretical lectures supported by PowerPoint presentations and visual materials (videos and short films).
- Scientific evaluation of participants before and after the program to measure progress and knowledge acquisition.
- Brainstorming sessions and practical role-playing to simulate real-life scenarios.
- Case studies tailored to align with the training content and participants work nature.
- Assessment tests conducted at the end of the program to evaluate the achievement of training objectives.

Each participant receives the training material (both theoretical and practical) in printed form and saved on a CD or flash drive. Detailed reports, including attendance records, final results, and overall program evaluations, are also provided.

Training materials are prepared professionally by a team of experts and specialists in various fields. At the end of the program, participants are awarded a professional attendance certificate, signed and accredited by the Scandinavian Academy for Training and Development.

### **Program Timings:**

- 9:00 AM to 2:00 PM in Arab cities.
- 10:00 AM to 3:00 PM in European and Asian cities.

### **The program includes:**

- A daily buffet provided during the sessions to ensure participants comfort.